

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

**PATENT
APPLICATION**

RULE 53(f) NO DECLARATION

For Commissioner of Patents
Washington, DC 20231

Atty. Dkt.

PMS 268461

M#

UM 1999-29

Client Ref

Date:

November 28, 2000

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title: **A MOLECULAR SWITCH FOR REGULATING MAMMALIAN GENE EXPRESSION**

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 44 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 41 Numbered claim(s); and

7. ☒ Drawings: 3 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"

9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/171,597	December 23, 1999	(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 rd page for additional priorities	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____

12. ☐ This is a reissue of Patent No. _____

13. ☒ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)

14. ☐ **Amend the specification** by inserting before the first line -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:

14(a) ☐ National Appln. No. _____ / _____ filed _____ (M# _____)

14(b) ☐ International Appln. No. PCT/ _____ filed _____ which designated the U.S. - -

15. ☐ **Amend the specification** by inserting before the first line: --This application claims the benefit of U.S. Provisional Application No. 60/ _____, filed _____.

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☒ Small Entity Status is claimed (pre-filing confirmation required)

17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)

17(b) ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (PAT-258)

18. ☐ Prior application is assigned to

by Assignment recorded _____ Reel _____ Frame _____

19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Keith	A.	Webster
	First	Middle Initial	Family Name
Residence	Key Biscayne	Florida	United Kingdom
	City	State/Foreign Country	Country of Citizenship
Post Office Address	740 Allendale Road, Key Biscayne, FL		
(include Zip Code)	33149		

(2) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(3) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(4) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Post Office Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, check box ☐
and attach sheet with same information regarding additional inventors.

Pillsbury Madison & Sutcliffe LLP
Intellectual Property Group

1100 New York Avenue, NW.
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
Atty/Sec: RNM/nlh

By: Atty: Ruth N. Morduch

Reg. No. 31044

Sig:

Ruth N. Morduch

Fax: (202) 822-0944

Tel: (202) 861-3617

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments